



Records Management Policy

Policy Information	
Policy Owner	Chief Governance and Operating Officer
Issue Version	2.0
Approving Committee	Audit, Risk and Finance Committee
Adopted Date	November 2021
Review Cycle	Bi-Annual
Last Review Date	July 2024
Next Review Date	July 2026

Adoption of the Policy

This Policy has been adopted and reviewed by the Trustees of Transforming Futures Trust.

Signed:

Date: 11.08.24

A handwritten signature in black ink, appearing to read 'G. Oliver'.

Chair of the Audit, Risk and Finance Committee



1. Introduction

The Trust recognises that by efficiently managing its records, it will be able to comply with its legal and regulatory obligations and to contribute to the effective overall management of the institution. Records provide evidence for protecting the legal rights and interests of the Trust and provide evidence for demonstrating performance and accountability. This document provides the policy framework through which this effective management can be achieved and audited. It covers:

- Scope
- Responsibilities
- Relationships with existing policies

2. Scope

This policy applies to all records created, received or maintained by staff of the academy in the course of carrying out its functions.

Records are defined as all those documents which facilitate the business carried out by the academy and which are thereafter retained (for a set period) to provide evidence of its transactions or activities. These records may be created, received or maintained in hard copy or electronically.

A small percentage of the academy's records will be selected for permanent preservation as part of the institution's archives and for historical research. This should be done in liaison with the County Archives Service.

3. Responsibilities

The Trust has a corporate responsibility to maintain its records and record keeping systems in accordance with the regulatory environment. The person with overall responsibility for this policy is the Head of the Academy.

The School Data Protection Leads are responsible for records management in each academy and will give guidance for good records management practice and will promote compliance with this policy so that information will be retrieved easily, appropriately and in a timely way. The School Data Protection Leads will also monitor compliance with this policy by surveying at least annually to check if records are stored securely and can be accessed appropriately.

Individual staff and employees must ensure that records for which they are responsible are accurate, and are maintained and disposed of in accordance with the academy's records management guidelines. The academy follows the Information and Records Management Society (IRMS) record retention schedule found in the Records Management Toolkit for Academies:

<https://irms.org.uk/page/SchoolsToolkit>

4. Relationship with Existing Policies

This policy has been drawn up within the context of the Trust Freedom of Information Policy, Data Protection Policy and with other legislation or regulations (including audit, equal opportunities and ethics) affecting the Trust.

Appendix 1 – Version Control Amendments

Version No	Date	Summary of Changes
2	July 2024	Reviewed by DPO, no changes